

2002-2003 Implementation Guide

Overview

The purpose of this Implementation Guide is to assist school administrators who participate in the Federal Pell Grant Program, third party servicers, and software vendors with implementing the system changes for the 2002–2003 Award Year.

This guide provides information about:

- 2002-2003 Modifications and information on what is new for 2002-2003
- Award Year Specific Information, including Message Class Tables, Yearly Parameters, and Pell Payment Tables
- Batches, 2002-2003 modifications, and edit modifications
- Originations, 2002-2003 modifications, calculation examples/scenarios, and edit modifications
- Disbursements, 2002-2003 modifications, and calculation examples/scenarios,
- Electronic Statement of Account (ESOA), drawing down funds, 2002-2003 modifications, and report layouts
- Four types of data requests, 2002-2003 modifications, and edit modifications
- Process Changes for 2002-2003

- Cycle process flows for daily processing and yearly processing
- At-A-Glance Edit Codes as well as Edit Code Tables, Technical Edits and Pell Grant-COD Edit Conversion Table.

This Implementation Guide is a companion to the record layouts and edits contained in Custom Layouts, and Appendix D, Reject Codes, Error Messages, and Edit Descriptions. Questions pertaining to this section should be directed to Customer Service at 800/4PGRANT (or 800/474-7268) or sent by e-mail to pell.systems@ed.gov.

To help users understand the new guidelines, the modifications have been classified into four specific functional areas:

- Batches
- Origination Records
- Disbursement Records
- Data Requests

Within each functional area, individual modifications are described and discussed.

2002-2003 Modifications

What's New for 2002-2003

The following matrix, 2002-2003 Modifications-At-A-Glance, presents an at-a-glance table for viewing 2002-2003 cycle modifications to processes and procedures, edits, record layouts, fields, records, and reject codes.

2002–2003 Modifications	New Process/ Procedure	New or Redefined Edit(s)	Redesign Record Layout	New or Redefined Field(s)	Eliminated Records, Fields, Edits & Reject Codes
1. The Software Provider field is now a required field.		X		X	
2. Grant Batch Trailer records for message classes with no reported data have fields that are now zeroed-filled.	X				
3. The Grant Batch Trailer records now have a duplicate record count field.	X		X	X	
4. New field added to the Origination record and Origination Acknowledgment for Student's Current SSN.	X		X	X	
5. New field added to the Origination and Origination Acknowledgment record for Student's Date of Birth.	X		X	X	
6. New field added to the Origination and Origination Acknowledgment record for the Student's Last Name.	X		X	X	

2002-2003 Modifications (Continued)

2002–2003 Modifications	New Process/ Procedure	New or Redefined Edit(s)	Redesign Record Layout	New or Redefined Field(s)	Eliminated Records, Fields, Edits & Reject Codes
7. New field added to the Origination record and Origination Acknowledgment for the Student's First Name.	X		X	X	
8. New field added to the Origination and Origination Acknowledgment record for the Student's Middle Initial.	X		X	X	
9. Cents other than zero in award amount now accepted. Award Amount is no longer truncated in processing to lowest whole dollar amount.	X	X			
10. A Negative Pending field has been added to the origination acknowledgment.	X		X	X	
11. The Secondary EFC has been added as a new field to the Origination Acknowledgment.			X	X	
12. Added a new edit 332 - Invalid Reported Current SSN for award year 2003 and beyond.		X			
13. Added a new edit 333- Invalid Reported Date of Birth for award year 2003 and beyond.		X			
14. Added a new edit 334- Invalid Reported Last Name for award year 2003 and beyond.		X			

2002-2003 Modifications (Continued)

2002–2003 Modifications	New Process/ Procedure	New or Redefined Edit(s)	Redesign Record Layout	New or Redefined Field(s)	Eliminated Records, Fields, Edits & Reject Codes
15. Added a new edit 335 - Invalid Reported First Name for award year 2003 and beyond.		X			
16. Added a new edit 336 - Invalid Reported Middle Initial for award year 2003 and beyond.		X			
17. Added a new edit 337 - Student Not Pell Eligible for award year 2003 and beyond.		X			
18. Added a new edit 338 - Duplicate Match on CPS for award year 2003 and beyond.		X			
19. Added a new edit 339 - Origination Record Reported with Zero Award Amount for award year 2003 and beyond.		X			
20. The School Cross-Reference field on the Origination record has been renamed to Origination Cross-Reference.				X	
21. The Verification Status Code field uses only the following Valid Values 'V' = Verified, 'W' = Without Documentation, and Blank.	X	X		X	X
22. The first Origination record for a student must have an award amount for the entire year greater than \$0.00 (0000000) or it will be rejected.	X	X			

2002-2003 Modifications (Continued)

2002–2003 Modifications	New Process/ Procedure	New or Redefined Edit(s)	Redesign Record Layout	New or Redefined Field(s)	Eliminated Records, Fields, Edits & Reject Codes
23. The record length for the Origination Record has been changed to 300.			X		
24. The record length for the External Origination Record has been changed to 350.			X		
25. The record length for the Origination Acknowledgment has been changed to 450.			X		
26. Disbursements for the same student in the same batch will be processed in disbursement reference number order.	X				
27. The Disbursement Reference Number range is 01-65.				X	
28. The Institutional Cross-Reference field has been renamed on the Disbursement record, Disbursement Cross-Reference field.				X	
29. The Institutional Data Record and acknowledgement has been eliminated.					X
30. New field added to the student specific Data Request record and Data Request Acknowledgment for Student's Current SSN.	X		X	X	
31. New field added to the student specific Data Request record and Data Request Acknowledgment record for Student's Date of Birth.	X		X	X	
32. New field added to the student specific Data Request record and Data Request Acknowledgment record for the Student's Last Name.	X		X	X	

2002-2003 Modifications (Continued)

2002–2003 Modifications	New Process/ Procedure	New or Redefined Edit(s)	Redesign Record Layout	New or Redefined Field(s)	Eliminated Records, Fields, Edits & Reject Codes
33. New field added to the student specific Data Request record and Data Request Acknowledgment for the Student's First Name.	X		X	X	
34. New field added to the student specific Data Request record and Data Request Acknowledgment record for the Student's Middle Initial.	X		X	X	
35. The MRR types "VW" and "SI" are no longer being used and are deleted in the MRR record layout.					X
36. On the Reconciliation file, the field name has been changed from Attending Campus to Reporting Campus.				X	
37. On the Year-to-Date Origination record change the field name from Institutional Cross-Reference to Origination Cross-Reference.				X	
38. On the Year-to-Date Disbursement record change the field name from Institutional Cross-reference to Disbursement Cross-Reference.				X	
39. The Data Request record length is 125.			X		
40. The Data Request Acknowledgment record length is 150.			X		
41. Added a new edit 612- Invalid Reported Current SSN, Date of Birth or Student Name.		X			

Award Year Specific Information

Message Class Table

The 2002-2003 Federal Pell Grant message classes are shown in the table below:

Pell Message Classes

Message Class	Sent or Received by School	Batch ID	Data Type	Record Length	TIVWAN/ SAIG Mailbox
N/A	Sent / Received	N/A	SAIG Transmission Header Record	Variable	TG54880
N/A	Sent / Received	N/A	SAIG Transmission Batch Header Record	Variable	TG54880
N/A	Sent / Received	N/A	SAIG Transmission Batch Trailer Record	Variable	TG54880
N/A	Sent / Received	N/A	SAIG Transmission Trailer Record	Variable	TG54880
N/A	Sent / Received	N/A	Grant Header Record	Variable	TG54880
N/A	Sent / Received	N/A	Grant Trailer Record	Variable	TG54880
PGOR03IN	Sent	#O	Origination Records	300	TG54880
PGOA03OP	Received	#O	Origination Acknowledgement Records	450	TG54880
PGOP03OP	Received	#P	Origination Acknowledgement Records from the Web	450	TG54880
PGDR03IN	Sent	#D	Disbursement Records	100	TG54880
PGDA03OP	Received	#D	Disbursement Acknowledgement Records	200	TG54880
PGDW03OP	Received	#W	Disbursement Acknowledgement Records from the Web	200	TG54880
PGAS03OP	Received	#A	Statement of Account Records	200	TG54880
PGRQ03IN	Sent	#R	Data Request Records	125	TG54880
PGRA03OP	Received	#R	Data Request Acknowledgement	150	TG54880
PGMR03OP	Received	#M	Multiple Reporting Records	450	TG54880
PGRC03OP	Received	#C	Reconciliation Records	112	TG54880
PGYR03OP	Received	#Y	Year to Date Records	350	TG54880
PGTX03OP	Received	#T	ED Comments in ASCII Text Format	80	TG54880
PGDA03OP	Received	#G	System Generated Batches	200	TG54880
PGSN03OP	Received	N/A	SSN/Name/DOB Change Report	80	TG54880

Yearly Parameters

For each school year, certain parameter data is updated to display the values that will be used for that school year. Listed below are the tables that contain data that is specific to a school year.

Field	Minimum Value	Maximum Value
Expected Family Contribution (EFC)	00000	TBD
Award Amount	00000	TBD
Low Tuition Fee EFC	00000	TBD
Low Tuition Fee Cost of Attendance	00000	99999.99
Payment Period Start Date	01/01/2002	06/30/2003
Cost of Attendance (COA)	00000.00	99999.99
Weeks of Instructional Time in Acad. Year	30	78
Credit Hours in Academic Year	24	100
Clock Hours in Academic Year	900	3120
Enrollment Date	01/01/2002	06/30/2003

The Number of Days is used in the edits for the “N” Day values. This indicates how many days prior to the disbursement the record can be sent depending on the Funding Type of the school.

Code	Number of Days
Just In Time	7
Advance Funding	30
Reimbursement	0

Pell Payment Tables

The 2002-2003 Pell Payment Tables are available on the IFAP Web site at www.ifap@ed.gov.

Batches

Batch Process/Data

2002-2003 Batch Modifications

- The Software Provider field is now a required field (position 49-58 in the Grant Batch Header).
- Message classes sent without reported data from the Pell Processor will have applicable fields zero filled on the Grant Batch Trailer.
- The Grant Batch Trailer will include a count of duplicate records.

Originations

2002-2003 Origination Modifications

- Additional fields have been added to the Origination record for the student's name, Date of Birth, and Current SSN.
- New edit codes have been added to reject records that submit incorrect or invalid data in the new origination fields.
- The Origination record length is now 300 and the Origination Acknowledgement record length is 450.
- Renamed the Institution cross-reference field to Origination cross-reference.
- There are now just three Verification Status Codes. They are V- Verified, W- Without Documentation and Blank.
 - Records sent for processing with a "W" must be updated to "V" or funds will be taken away after the Verification deadline.
 - Records sent for processing with a "W" that have not been selected for verification must be updated with a "V" or funds will be taken away after the Verification deadline. Once a "W" is accepted on a record, a "V" must be submitted to update the record.
- If the Origination record causes a decrease in the Origination Award Amount and the student has been disbursed more funds than the current Origination Award Amount, the Negative Pending amount will be included on the Origination Acknowledgement. A 323 edit code will also be included.
- The Amount of the Secondary EFC is included in the Origination Acknowledgement.

- The Origination Award Amount will now accept cents other than zero. The Award Amount is no longer truncated in processing to lowest whole dollar amount.
- When the first Origination record for a student is sent for processing with an Origination Award Amount (Award Amount for the entire year) of \$0.00, the record will be rejected

Origination Examples/Scenarios

The examples shown below are calculated using the 2001-2002 Pell Disbursement Schedule.

The following acronyms are used in the examples:

- Weeks of instructional time used to calculate payment (WKSCALC)
- Weeks of instructional time in program's definition in academic year (WKSPROG)
- Credit/Clock hours in all payment periods expected to complete this school year (HRSCALC)
- Credit/Clock hours in program's definition of academic year (HRSPROG)

Origination Award Amount Calculations

For the following examples each case will have an EFC = 00000 and a Cost of Attendance = 25000. The full time scheduled award would be 3750.

A) Payment Methodology = 1

- The Reported Origination Award Amount = 3750.00
- The Accepted Origination Award Amount will be 3750 since the Full Time value is 3750.

B) Payment Methodology = 2 or 3

- The Reported Origination Award Amount = 3750.00

- WKSCALC = 33
- WKSPROG = 39

Total Payment Ceiling (TPC) is calculated by (Scheduled Award * WKSCALC) / WKSPROG

$$\text{TPC} = (3750 * 33) / 39 = 3173.076923$$

- Since the cents is greater than .01 the TPC is rounded up to the next whole dollar. TPC = 3174
- The Accepted Origination Award Amount will be 3174.00 instead of the reported 3750.00 since 3174 is less than 3750.

C) Payment Methodology = 4 (Academic Calendar = 5)

- The Reported Origination Amount = 3750.00
- WKSCALC = 33
- WKSPROG = 39
- HRSCALC = 1000
- HRSPROG = 1100
- Total Payment Ceiling is calculated by:
- (((Scheduled Full Time Award * WKSCALC) / WKSPROG) * HRSCALC) / HRSPROG

$$\text{TPC} = (((3750 * 33) / 39) * 1000) / 1100 = 2884.615384$$

Since the cents is greater than .01 the TPC is rounded up to the next whole dollar. TPC = 2885.

The Accepted Origination Award Amount will be 2885.00 instead of the reported 3750.00 since 2885.00 is less than 3750.00.

D) Payment Methodology = 5 (Academic Calendar = 6)

- The Report Origination Amount = 3750.00
 - Payment Methodology 5 limits the award to a maximum of ½ the Scheduled Full time Award amount.
- WKSCALC = 33
- WKSPROG = 39
- HRSCALC = 29
- HRSPROG = 73
- Total Payment Ceiling is calculated by:
$$(((\text{Scheduled Full Time Award} * \text{WKSCALC}) / \text{WKSPROG}) * \text{HRSCALC}) / \text{HRSPROG}$$
$$\text{TPC} = (((1875 * 33) / 39) * 29) / 73 = 630.268703$$

Since the cents is greater than .01 the TPC is rounded up to the next whole dollar. TPC = 631.00.
- The Accepted Origination Award Amount will be 631.00 instead of the reported 3750.00 since 631.00 is less than 3750.00

Submitting a Change to an Existing Origination Record

To submit a change to an existing Origination record a new Origination record needs to be created. The new Origination record needs to have the same Origination ID as the existing record. The field(s) that have changed need to be populated with the new value while the field(s) that have not changed need to be populated with the existing values.

Origination Records with an Overpaid Status (Negative Pending)

An origination acknowledgement with Edit 323 is an overpaid (or Negative Pending) record. This situation occurs when the origination award amount is changed to an amount less than the total amount of accepted disbursements. When a 323 edit is sent on an Origination Acknowledgement, there is also a Negative Pending amount in positions 356-362. There are three ways to correct this situation:

- Send a negative disbursement to lower the total disbursement amount to equal the origination award amount.
- Send an origination change to increase the origination award amount to equal the total accepted disbursement amount.
- After 30 days, if either option above has not been employed, a negative disbursement will be created for the difference between the total disbursement amount and the origination award amount.

Disbursements

2002-2003 Disbursement Modifications

- The Institution Cross-Reference field has been renamed Disbursement Cross-Reference.
- Disbursements for the same student will be processed in Disbursement Reference Number order.
- Disbursements Reference Numbers may range from 01-65. The Disbursement Reference Numbers 66-99 are reserved for ED Use.

Calculation Examples/Scenarios

Correcting a Disbursement Amount

To correct a disbursement amount a new disbursement record is submitted for the difference between the existing amount and the new amount.

Example:

On October 1, 2002 the school submitted a disbursement for \$1,000 which was accepted. On October 15, 2002 the school needed to adjust the disbursement amount to \$750. The following records would have been submitted.

Disb. Number	Date	Disb. Amt.	Debit/Credit Indicator
01	20021001	1000.00	P
02	20021015	250.00	N

The disbursement amount for the student can continue to be adjusted by sending in positive disbursements to increase the total disbursement amount and negative disbursements to decrease the total disbursement amount.

Data Requests

2002-2003 Data Request Modifications

- The Institutional Data record has been eliminated because schools can update their information online.
- The Data Request record length has been changed to 125 and the Data Request Acknowledgement has been changed to 250.
- The Data Request has added new fields for student specific data requests. These fields are Current SSN, Date of Birth, and Student Name.
- The MRR record will no longer use record types “VW” or “SI”
- The field name Attending Campus has been changed to Reporting Campus on the Reconciliation file.
- On the Year-to-Date file the Institution cross-reference fields have been changed to the Origination cross-reference and Disbursement cross-reference.

ESOA Modifications

The Payment Control number has been eliminated.

ESOA's are reported in Detail and Summary reports. Please refer to Section 1, Custom Layouts, for the ESOA record description.

Process Changes

Award Year Changes

The following matrix shows the Process Changes at-a-glance that have been made to improve Pell Processing. These changes for 2002-2003 affect how the data is received and processed, how the data is interpreted and how the funds are disbursed to schools. Additional detail about these changes can be found throughout the Pell Technical Reference.

Process	Description
COD	Loan Origination and Pell Grant data are submitted on a Common Record. Full Participants use the Common record in 2002-2003 and Phase-In Participants use the legacy record layouts with fixed length files.
Verification “W”	Verification Status Codes have changed. The only codes used in 2002-2003 are “V” for Verified, “W” for Without Documentation, and Blank for not selected for verification.
Student Identifier	The COD Student Identifier uses the Student’s Current SSN, Current Last Name, and Current Date of Birth. There are new fields on the Origination record and Data Request for these data elements.
Enrollment Status	The Enrollment Status is not used in COD processing. If sent to COD, no edits will be performed on this field.
Origination Award Amount	Cents greater than zero can now be submitted in the Origination Award Amount.
Reject records	Rejected records are retained in a “holding bin” for viewing online.

Process (Continued)	Description
Funding and Disbursement Date	Advance funded schools can send records up to 30 days in advance of the Disbursement Date in the record, however the funds will not be available for draw down until 7 days prior to the Disbursement Date.
Potential Overaward Project (POP)	After receiving an MRR, schools have 30 days to mediate with the other POP affected school(s) and correct overpayment situations. After 30 days, if the student is still in an Overpaid status, all schools involved will receive system generated negative disbursements decreasing all previously accepted disbursements for the student to zero.

Cycle Processing

Cycle Processes

Daily Processing Cycles

Origination and disbursement batches are processed 2 times a day.

Usually, the acknowledgements are returned to SAIG within 5 hours.

After the data is swept, it is processed in the following order:

- Originations
- Disbursements

During the second cycle, in addition to Originations and Disbursement, ESOAs are generated for schools and disbursement data is sent to NSLDS.

This schedule may be modified at times depending on the volume of data received from schools.

Yearly Processing Cycle

Certain activities occur during the Award Year

Date	Activity
March 2002	The Pell Processor is ready to receive 2002-2003 Origination records and Data Requests.
May 2002	2002-2003 Initial Authorization is run.
June 2002	The Pell Processor is ready to receive 2002-2003 Disbursement Records, Disbursement data starts being sent to NSLDS.
Spring 2003	The first Administrative Cost Allowance (ACA) for 2002-2003 is performed. The Authorization amounts for 2002-2003 are reduced to the current obligation amounts.
Summer 2003	The second ACA for 2002-2003 is performed. Deobligation for Verification Status “W” for 2002-2003.
Summer 2004	The third ACA for 2002-2003 is performed.
October 1, 2003	Origination records and increases to Disbursements are no longer accepted except for schools who have been granted Administrative Relief for 2002-2003.

Edit Codes

Edit Codes At-A-Glance

The following tables present, at-a-glance:

- Legacy Edit and reject codes for batch edits,
- Origination edits,
- Data request edits,
- Disbursement edits, and
- Pell Grant-COD Edit Conversion Table.

An expanded version of the Edit Code Tables that include Edit Codes, What They Mean, How to Fix Them as well as Technical Edit information may be found in Appendix D.

When viewing batch and record data on the COD Web page, users will see the COD edit messages not the legacy edit/comment codes sent on the batch acknowledgement. A listing of all the legacy edit/comment codes and a conversion table of the COD edit messages is included here.

Batches

Edit Code types are: E=Reject, W=Warning, D=Duplicate

Edit Code	Message	Type
201	Missing /Mismatched Grant Batch Header.	E
202	Missing /Mismatched Grant Batch Trailer.	E
203	Duplicate Grant Batch Header.	E
206	Data Record Length must be numeric.	E
207	Reported Number of Records must be numeric.	E
208	Reported Total of Batch must be numeric.	E
209	Invalid /Missing Batch Number.	E
210	Year must be numeric.	E
211	Pell ID must be numeric.	E
212	Pell ID invalid or not found.	E
213	Creation date must be numeric.	E
214	Creation time invalid.	E
215	Batch Type Invalid.	E
216	Grantee DUNS does not match the School's Reporting Pell ID in Batch Number for Award Years prior to 2001-2002.	W
217	Discrepancy between different records with identical batch number.	E
218	Reported Number of Records does not equal count of detail records.	W
219	Total of Batch does not equal computed total of detail record amounts.	W
220	Reported Sign Indicator must be a valid indicator.	W
221	Reporting Campus Pell School ID is a branch.	E
222	School is currently ineligible upon receipt of batch.	W

Batches (Continued)

Edit Code types are: E=Reject, W=Warning, D=Duplicate

Edit Code	Message	Type
224	Invalid Message Class.	E
225	Data Record Length is not valid for Message Class.	E
226	Batch type code in Batch Number must equal record type for message class.	E
227	Batch Create Date is greater than current system date.	E
228	Batch Number indicates you have included a batch with data from a different Award Year.	E
229	Batch submitted prior to system start-up date.	E
230	Disbursements submitted prior to award year start date.	E
231	Reporting School must send Special Disbursement for award years prior to 2001 - 2002.	E
232	No detail records in Batch.	E
233	Invalid Destination Mailbox ID.	E
234	Reserved for future use.	N/A
235	For Award Year 2000-2001 and prior. Blank Output Media Type. Set to Media Type that was received.	W
236	Trailer Message Class does not match the Header.	E
238	Trailer Destination Mailbox ID does not match the Header.	E
239	School is not using the latest release of EDEExpress.	W
240	OPE ID Number does not match the Schools Reporting Pell ID in the Batch Number for Award Years after 2000-2001.	W

Originations

Edit Code Types: E-Reject, W-Warning, D-Duplicate

Edit Code	Message	Type
301	Invalid Origination ID.	E
302	Duplicate Origination for Award Year 2000-2001 and before.	E
303	Invalid Original SSN or Name Code resulting in the Pell Processor being unable to match with the Applicant record for Award Year 2001 and before; OR Invalid Original SSN resulting in the Pell Processor being unable to match with the Applicant record for Award Year 2001-2002 and beyond.	E
304	Attending and Reporting/Funded campus mismatch or Origination Identifier Pell ID mismatch with Attended campus Pell ID.	E
305	Invalid Award Amount / Correction Applied.	W
306	Invalid Disbursement Date / Correction Applied.	W
307	Invalid Enrollment Date / Correction Applied.	W
308	Invalid low tuition & fees code / Correction Applied.	W
309	Invalid Verification Code / Correction Applied.	W
310	Invalid Incarcerated Federal Pell Recipient code / Correction Applied.	W
311	Invalid Transaction Number.	E
312	Invalid Expected Family Contribution.	E
313	Invalid Secondary Expected Family Contribution / Correction Applied.	W
314	Invalid Academic Calendar / Correction Applied.	W
315	Invalid Payment Methodology / Correction Applied.	W
316	Invalid Number of Payment Periods / Correction Applied for Award Year 2002 and before.	W
317	Invalid Cost Of Attendance / Correction Applied.	W
318	Invalid Enrollment Status / Correction Applied.	W
319	Invalid Weeks of Inst. Time in program's definition of Academic Year / Correction Applied.	W
320	Invalid Weeks of Inst. Time used to calculate payment / Correction Applied.	W
321	Invalid Credit or Clock hrs in program's definition of academic year / Correction Applied.	W
322	Invalid Credit or Clock hrs in all payment periods expected to complete this school year / Correction Applied.	W

Originations (Continued)

Edit Code Types: E-Reject, W-Warning, D-Duplicate

Edit Code	Message	Type
323	Origination is 'Over Paid' and negative disbursement is expected.	W
324	Invalid Name Code resulting in the Pell Processor being unable to match with the Applicant record, or does not equal the name code in the Origination ID, for Award Year 2002 and beyond.	E
325	Invalid Origination ID - Pell ID is non-numeric for Award Year 2002 and beyond.	E
326	Invalid Origination ID - Invalid Award Year for the Batch for Award Year 2002 and beyond.	E
327	Invalid Origination ID - Sequence Number is not "00" for Award Year 2002 and beyond.	E
328	Invalid Origination ID - SSN is non-numeric, or is not \geq 001010001 and \leq 999999999 for Award Year 2002 and beyond.	E
329	Invalid Origination ID - Name Code not A-Z or '.' Or '' or '-' , or " '" for Award Year 2002 and beyond.	E
330	Duplicate SAR ID may be shared by two students for Award Year 2002 or beyond.	W
331	Duplicate Origination for Award Year 2002 and beyond.	D
332	Invalid Reported Current SSN for award year 2003 and beyond.	E
333	Invalid Reported Date of Birth for award year 2003 and beyond.	E
334	Invalid Reported Last Name for award year 2003 and beyond.	E
335	Invalid Reported First Name for award year 2003 and beyond.	E
336	Invalid Reported Middle Initial for award year 2003 and beyond.	E
337	Student Not Pell Eligible for award year 2003 and beyond.	E
338	Duplicate Match on CPS for award year 2003 and beyond.	E
339	Origination Record Reported with Zero Award Amount for award year 2003 and beyond.	E
399	New Origination award or increase received after end of processing year and school has not been granted Administrative Relief.	E

Disbursements Edit Codes and Messages

Edit Code Types: E = Reject, W = Warning, D = Duplicate

Edit Code	Message	Type
401	Invalid Origination ID.	E
402	Origination ID Does not match.	E
403	Disbursement Reference Number Already on File.	E
404	Debit/Credit Indicator Flag Not Valid.	E
405	Disbursement Amount Not Valid because amount is non-numeric.	E
406	Disbursement Amount Is Not In Valid range.	W
407	Disbursement Date is Not Valid Date because date is non-numeric.	E
408	Disbursement Date is Not Valid for Processing Date, not in valid range, or not in valid date format.	E
409	Reserve for future use.	E
410	Potential Concurrent Enrollment – Multiple Reporting. Sent separately.	W
411	Potential Overaward Project - Multiple Reporting. Sent separately.	W
412	Negative disbursement cannot be accepted without a previous positive disbursement.	E
413	Insufficient negative disbursement amount. Negative disbursement is expected for difference amount.	W
414	Origination is in “Overpaid” status. Positive Disbursement cannot be accepted.	E
415	School Eligibility Status indicates the school must submit a Special Disbursement record and Award Year is 2000 – 2001 or prior.	E
416	Negative disbursement adjusted to reflect accepted previous disbursement balance.	W
417	Disbursement submitted after end of processing year and school has not been granted administrative relief.	E
418	Origination indicates Verification Status 'W', disbursement adjusted to the Origination Award Amount or 50% of the Scheduled Award Amount, whichever is lesser, and Award Year is 2000 – 2001 or prior.	W

Disbursements Edit Codes and Messages (Continued)

Edit Code Types: E = Reject, W = Warning, D = Duplicate

Edit Code	Message	Type
419	Origination indicates Verification Status 'W'. Verification 'W' needs to be updated on the origination record.	W
420	Origination indicates Verification Status 'W', only one disbursement allowed, and Award Year is not 2001 – 2002 or prior.	E
421	Disbursement generated after Verification Deadline Date and Award Year is 2000 – 2001 or prior.	E
422	School is eligible, Payment Period Start Date is invalid or not in a valid range and Award Year is 2001 – 2002 or beyond.	W
423	School is not eligible, Payment Period Start Date is invalid or not in a valid range and Award Year is 2001 – 2002 or beyond.	E
424	School is not eligible, Payment Period Start Date is not in the eligible range and Award Year is 2001 – 2002 or beyond.	E
425	Invalid Origination ID - Pell ID is non-numeric for Award Year 2002 and beyond.	E
426	Invalid Origination ID - Invalid Award Year for the Batch for Award Year 2002 and beyond.	E
427	Invalid Origination ID - Sequence Number is not "00" for Award Year 2002 and beyond.	E
428	Invalid Origination ID - SSN is not \geq 001010001 and \leq 999999999 for Award Year 2002 and beyond.	E
429	Invalid Origination ID - Name Code not A-Z or '.' Or '' or '-', ' ', or "" for Award Year 2002 and beyond.	E
430	Duplicate Disbursement reference number. Reference number already used.	D
431	Award Year is 2002 or greater and the Disbursement Reference number is nonnumeric or NOT between 01 to 65.	E
432	Disbursement amount would have been corrected to zero by Pell Processor calculations so the Disbursement record was rejected.	E
440	CMO rejected this student for inadequate/missing eligibility documentation / information.	E

Disbursements Edit Codes and Messages (Continued)

Edit Code Types: E = Reject, W = Warning, D = Duplicate

Edit Code	Message	Type
441	CMO rejected this student for inadequate/missing fiscal documentation / information.	E
442	CMO rejected this student for inadequate/missing award or disbursing documentation / information.	E
443	CMO rejected this student for not meeting reporting requirements.	E
444	CMO rejected this student for failure to comply with requirements.	E
445	CMO rejected this student for inadequate or missing documentation.	E

Data Requests

Edit Code Types: E = Reject, W = Warning, D = Duplicate

Edit Code	Message	Type
601	Invalid Attended Pell ID.	E
602	Invalid Data Request Type.	E
603	Invalid Requested Output Media Type.	E
604	Invalid Pell Batch Number.	E
605	Invalid Multiple Reporting Request Code 1, should be 'O' or 'D'.	E
606	Invalid Multiple Reporting Request Code 2, should be 'A', 'S' or 'T'.	E
607	Invalid MRR Student ID.	E
608	Invalid MRR School ID.	E
609	Requested/Matching Data Not Found.	E
610	Student Not Originated at Requesting School.	E
611	Invalid Reconciliation Origination ID.	E
612	Invalid Reported Current SSN, Date of Birth or Student Name.	W

Pell Grant-COD Edit Conversion Table

Overview

The Pell Grant Edit Conversion Table provides a crosswalk of edits in the legacy system with those in the COD System.

The table lists the legacy warning or reject codes and the corresponding message with the COD error code and message. The table references the COD error code and message that will be posted on the COD Web page after a legacy record is processed and the legacy edit/comment code that will be returned on the actual legacy record.

Batch Edit/Reject Codes

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
201	Missing/Mismatched Grant Batch Header	N/A	N/A for COD	801 - Missing/Mismatched Grant Batch Header
202	Missing/Mismatched Grant Batch Trailer	N/A	N/A for COD	802 - Missing/Mismatched Grant Batch Trailer
203	Duplicate Grant Batch Header	003	Duplicate Document ID	
206	Data Record Length must be numeric	998	Invalid Format	803 - Data Record Length must be numeric
207	Reported Number of Records must be numeric	998	Invalid Format	804 - Reported Number of Records must be numeric
208	Reported Total of Batch must be numeric	998	Invalid Format	805 - Reported Total of Batch must be numeric
209	Invalid/Missing Batch Number	994	Missing Value	806 - Invalid/Missing Batch ID
		996	Invalid Value	
210	Year must be numeric	998	Invalid Format	807 - Year must be numeric
211	Pell ID must be numeric	998	Invalid Format	
212	Pell ID invalid or not found	004	Entity ID Not Found On Participant File	
213	Creation date must be numeric	998	Invalid Format	808 - Creation date must be numeric
214	Creation time invalid	996	Invalid Value	809 - Creation time invalid
215	Batch Type Invalid	996	Invalid Value	810 - Batch Type Invalid

Batch Edit/Reject Codes (Continued)

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
216	Grantee DUNS does not match the school's Reporting Pell ID in Batch Number for Award Years prior to 2001-2002	N/A	N/A for COD	811 - Grantee DUNS does not match the school's 'Reporting Pell ID' in 'Batch ID' for Award Years prior to 2001-2002
217	Discrepancy between different records with identical batch number	N/A	N/A for COD	812 - Discrepancy between different records with identical Batch ID
218	Reported Number of Records does not equal count of detail records	008	Reported Number of Students Does Not Equal Detail Count	813 - Reported Number of Records does not equal count of detail records
219	Total of Batch does not equal computed total of detail record amounts	090	Reported Amount of Disbursements does not equal Amount of detail records	814 - Message Class, Batch ID, Origination Award Amount, Disbursement Amount, Reported Total of Batch
220	Reported Sign Indicator must be a valid indicator	N/A	N/A for COD	815 - Reported Sign Indicator must be a valid indicator
221	Reporting Campus Pell School ID is a branch	002	Reporting Entity ID is Not Eligible To Report	
222	School is currently ineligible upon receipt of batch	101	The Reporting School Entity ID is listed as ineligible upon receipt of batch	
224	Invalid Message Class	N/A	N/A for COD	816 - Invalid Message Class
225	Data Record Length is not valid for Message Class	N/A	N/A for COD	817 - Data Record Length is not valid for Message Class
226	Batch type code in Batch Number must equal record type for Message Class	N/A	N/A for COD	818 - Batch Type code in Batch ID must equal record type for Message Class
227	Batch Create Date is greater than current system date	006	Document Create Date Greater Than Current System Date	
228	Batch Number indicates you have included a batch with data from a different Award Year	N/A	N/A for COD	819 - Batch ID indicates you have included a Batch with data from a different Award Year

Batch Edit/Reject Codes (Continued)

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
229	Batch submitted prior to system start-up date	N/A	N/A for COD	
230	Disbursements submitted prior to award year start date	N/A	N/A for COD	
231	Reporting School must send Special Disbursement for Award Years prior to 2001-2002	N/A	N/A for COD	
232	No detail records in Batch	007	No Detail Records In Document	
233	Invalid Destination Mailbox ID	001	Invalid Destination Mailbox ID	
236	Trailer Message Class does not match the Header	N/A	N/A for COD	821 - Trailer Message Class does not match the Header
238	Trailer Destination Mailbox ID does not match the Header	N/A	N/A for COD	822 - Trailer Destination Mailbox ID does not match the Header
240	OPE ID Number does not match the Schools Reporting Pell ID in the Batch Number for Award Years after 2000-2001	N/A	N/A for COD	823 - OPE ID Number does not match the Schools Reporting Pell ID in the Batch ID for Award Years after 2000-2001

Origination Edit/Reject Codes

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
301	Invalid Origination ID	N/A	N/A for COD	826 - Invalid Origination ID
302	Duplicate Origination for Award Year 2001 and before	N/A	N/A for COD	
303	Invalid Original SSN resulting in Pell Processor being unable to match with the Applicant record for Award Year 2002 and beyond Invalid Original SSN or Name Code resulting in the Pell Processor being unable to match with the Applicant record for Award Year 2001 and before	N/A	N/A for COD	828 - Invalid Original SSN resulting in COD being unable to match with the Applicant record for Award Year 2002 and beyond Invalid Original SSN or Name Code resulting in COD being unable to match with the Applicant record for Award Year 2000-2001 and before
304	Attending and Reporting/Funded campus mismatch or Origination Identifier Pell ID mismatch with Attending campus Pell ID	034	Attending School Entity Identifier has no relationship with the Reporting School Entity Identifier	
305	Invalid Award Amount/Correction Applied	039	Incorrect Award Amount	
306	Invalid Disbursement Date/Correction Applied	995	Invalid Value; Correction Applied	
307	Invalid Enrollment Date/Correction Applied	995	Invalid Value; Correction Applied	
308	Invalid Low Tuition & Fees Code/Correction Applied	023	Incorrect Low Tuition & Fees Code/Correction Applied	
309	Invalid Verification Code/ Correction Applied	995	Invalid Value; Correction Applied	

Origination Edit/Reject Codes (Continued)

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
310	Invalid Incarcerated Federal Pell Recipient Code/Correction Applied	995	Invalid Value; Correction Applied	
311	Invalid Transaction Number	024	Reported CPS Transaction Number Does Not Match CPS	
312	Invalid Expected Family Contribution	N/A	N/A for COD	824 - Invalid Expected Family Contribution
313	Invalid Secondary Expected Family Contribution/Correction Applied	026	Incorrect Secondary EFC Used/ Correction Applied	
314	Invalid Academic Calendar/Correction Applied	028	Incorrect Academic Calendar/ Correction Applied	
315	Invalid Payment Methodology/Correction Applied	027	Incorrect Payment Methodology/ Correction Applied	
316	Invalid number of Payment Periods/ Correction Applied for Award Year 2001 and before	N/A	N/A for COD	
317	Invalid Cost Of Attendance/Correction Applied	995	Invalid Value; Correction Applied	
318	Invalid Enrollment Status/Correction Applied	N/A	N/A for COD	
319	Invalid Weeks of Instructional Time in Program's Definition of Academic Year/Correction Applied	029	Invalid Weeks of Instructional Time in Program's Definition of Academic Year/Correction Applied	
320	Invalid Weeks of Instructional Time used to Calculate Payment/Correction Applied	030	Invalid Weeks of Instructional Time used to Calculate Payment	

Origination Edit/Reject Codes (Continued)

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
321	Invalid Credit or Clock Hours in program's definition of academic year/Correction Applied	031	Invalid Credit or Clock Hours in program's definition of academic year	
322	Invalid Credit or Clock Hours in all payment periods expected to complete this school year/Correction Applied	032	Invalid Credit or Clock Hours in all payment periods expected to complete this school year	
323	Origination is "Over Paid" and Negative Disbursement is Expected	040	Changed Award Amount Is Less Than Total Disbursements	
324	Invalid Name Code resulting in The Pell Processor being unable to match with the Applicant Record, or does not equal the name code in the Origination ID, for Award Year 2002 and beyond	N/A	N/A for COD	829 - Invalid Name Code resulting in COD being unable to match with the Applicant Record, or does not equal the name code in the Origination ID, for Award Year 2002 and beyond
325	Invalid Origination ID - Pell ID is non-numeric for Award Year 2002 and beyond	998	Invalid Format	830 - Invalid Origination ID - Pell ID is non-numeric for Award Year 2001- 2002 and beyond
326	Invalid Origination ID - Invalid Award Year for the Batch for Award Year 2002 and beyond	996	Invalid Value	831 - Invalid Origination ID - Invalid Award Year for the Batch for Award Year 2001-2002 and beyond
327	Invalid Origination ID - Sequence Number is not "00" for Award Year 2002 and beyond	996	Invalid Value	832 - Invalid Origination ID - Sequence Number is not "00" for Award Year 2001-2002 and beyond

Origination Edit/Reject Codes (Continued)

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
328	Invalid Origination ID - SSN is non-numeric, or is not >=001010001 and <= 999999999 for Award Year 2002 and beyond	N/A	N/A for COD	833 - Invalid Origination ID - SSN is non-numeric, or is not >=001010001 and <= 999999999 for Award Year 2001-2002 and beyond
329	Invalid Origination ID – Name code not “A-Z” or “,” or “-“ for award year 2002 and beyond	N/A	N/A for COD	
330	Duplicate SAR ID may be shared by two students for Award Year 2002 or beyond	N/A	N/A for COD	835 - Duplicate SAR ID may be shared by two students for Award Year 2001-2002 or beyond
331	Duplicate Origination for Award Year 2002 and beyond	N/A	N/A for COD	827 - Duplicate Origination for Award Year
332	Invalid Reported Current SSN for Award Year 2003 and beyond	011	No eligible SSN, DOB, and last name combination match on CPS for student	
333	Invalid Reported Date of Birth for Award Year 2003 and beyond	011	No eligible SSN, DOB, and last name combination match on CPS for student	
334	Invalid Reported Last Name for Award Year 2003 and beyond	011 020	No eligible SSN, DOB, and last name combination match on CPS for student First and last name blank.	
335	Invalid Reported First Name for Award Year 2003 and beyond	020	First and last name blank.	
336	Invalid Reported Middle Initial for Award Year 2003 and beyond	996	Invalid Value	
337	Student not Pell eligible for Award Year 2003 and beyond	038	Student not Pell Eligible	

Origination Edit/Reject Codes (Continued)

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
338	Duplicate match on CPS for Award Year 2003 and beyond	025	Duplicate match on CPS	
339	Origination Record reported with Zero Award Amount for Award year 2003 and beyond	042	New award type submitted with a zero award amount	
399	New Origination Award or increase received after end of processing year and school has not been granted Administrative Relief	043	New Award Amount or Award Increase Received After End Of Processing Year and School Has Not Been Granted Administrative Relief (Pell)/ Extended Processing (DL)	

Disbursement Edit/Reject Codes

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
401	Invalid Origination ID	N/A	N/A for COD	
402	Origination ID does not match a record in the Pell Processor database	N/A	N/A for COD	848 - Origination ID does not match on COD
403	Disbursement Reference Number Already on File	N/A	N/A for COD	841 - Disbursement Reference Number Already on File
404	Debit/Credit Indicator Flag Not Valid	N/A	N/A for COD	842 - Debit/Credit Indicator Flag Not Valid
405	Disbursement Amount Not Valid because amount is non-numeric	996	Invalid Value	
406	Disbursement Amount Is Not in Valid Range	067	Incorrect Disbursement Amount	
407	Disbursement Date is Not Valid Date because date is non-numeric	998	Invalid Format	
408	Disbursement Date is Not Valid for Processing Date, not in Valid Range, or not a valid Date format	051	Disbursement Date With Payment Trigger Set to Yes Outside of Allowable Window	
		053	Disbursement Date cannot be blank.	
		996	Invalid Format	
		998	Invalid Value	
410	Potential Concurrent Enrollment – Multiple Reporting. Sent separately	069	Potential Concurrent Enrollment - Notice Sent Separately	
411	Potential Overaward Project – Multiple Reporting. Sent separately	068	Potential Overaward Project- Notice Sent Separately	

Disbursement Edit/Reject Codes (Continued)

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
412	Negative Disbursement cannot be accepted without a previous positive disbursement	996	Invalid value	843 - Negative Disbursement cannot be accepted without a previous accepted positive disbursement
413	Insufficient Negative Disbursement amount. Negative Disbursement is expected for difference amount	065	Insufficient Decrease in the Disbursement amount. Disbursement is expected for Amount of the Negative Pending	
414	Origination is in "Overpaid" status. Positive Disbursement cannot be accepted	066	Award is in "Overpaid" status. Disbursement Increase cannot be accepted	
415	School Eligibility Status indicates the School must submit a Special Disbursement Record and Award Year is 2000 – 2001 or prior	N/A	N/A for COD	
416	Negative Disbursement adjusted to reflect accepted Previous Disbursement Balance	N/A	N/A for COD	844 - Negative Disbursement adjusted to reflect accepted Previous Disbursement Balance
417	Disbursement submitted after end of processing year and school has not been granted Administrative Relief	071	New Disbursement, Increase, or Payment Trigger to "Y" Received After End Of Processing Year and School Has Not Been Granted Administrative Relief (Pell)/Extended Processing (DL)	

Disbursement Edit/Reject Codes (Continued)

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
418	Origination indicates Verification Status 'W', Disbursement adjusted to the Origination Award Amount or 50% of the Scheduled Award Amount, whichever is lesser, and Award Year is 2000 – 2001 or prior	064	Disbursement Amount > 50% of award with Verification Status of "W"	
419	Origination indicates Verification Status 'W'. Verification W needs to be updated on the Origination record	N/A	N/A for COD	"Legacy Edit to be added"
420	Origination indicates Verification Status 'W', only one disbursement allowed, and Award Year is not 2001-2002	061	Only One Disbursement Allowed for Students with a Verification Status of "W"	
422	School is eligible, Payment Period Start Date is invalid or not in a valid range and Award Year is 2001 – 2002 or beyond	996	Invalid Value	
423	School is not eligible, Payment Period Start Date is invalid or not in a valid range and Award Year is 2001 – 2002 or beyond	996	Invalid Value	
424	School is not eligible, Payment Period Start Date is not in the eligible range and Award Year is 2001 – 2002 or beyond	079 048	Payment Period Start Date Not In Eligible Range School is ineligible	
425	Invalid Origination ID - Pell ID is non-numeric for Award Year 2002 and beyond	N/A	N/A for COD	

Disbursement Edit/Reject Codes (Continued)

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
426	Invalid Origination ID - Invalid Award Year for the Batch for Award Year 2002 and beyond	N/A	N/A for COD	
427	Invalid Origination ID - Sequence number is not "00" for Award Year 2002 and beyond	N/A	N/A for COD	
428	Invalid Origination ID - SSN is not >=001010001 and <= 999999999 for Award Year 2002 and beyond	N/A	N/A for COD	
429	Invalid Origination ID - Name Code not A-Z or " ." or " - " or " " for Award Year 2002 and beyond	N/A	N/A for COD	
430	Duplicate Disbursement reference number. Reference number already at the Pell Processor	058	Duplicate Disbursement Information On File	
431	Award Year is 2002 or greater and the Disbursement Reference number is nonnumeric or not between 01 to 90	998 996	Invalid Format Invalid Value	
432	Disbursement amount would have been corrected to zero by the Pell Processor calculations so the disbursement record was rejected	N/A	N/A for COD	845 - Disbursement amount would have been corrected to zero by COD calculations so the disbursement record was rejected
440	CMO rejected this student for inadequate/missing eligibility documentation/	083	Case Management Office (CMO) Rejected This Student For Inadequate/ Missing Eligibility Documentation/ Information	

Disbursement Edit/Reject Codes (Continued)

Legacy Reject Code	Legacy Edit Message	COD Error Code	COD Edit Message	COD Error Code & Message for Legacy Records Only
441	CMO rejected this student for inadequate/missing fiscal documentation/information	084	CMO Rejected This Student For Inadequate/ Missing Fiscal Documentation/ Information	
442	CMO rejected this student for inadequate/missing award or disbursing documentation/information	085	CMO Rejected This Student For Inadequate/ Missing Award or Disbursing Documentation/ Information	
443	CMO rejected this student for not meeting reporting requirements	086	CMO Rejected This Student For Not Meeting Reporting Requirements	
444	CMO rejected this student for failure to comply with requirements	087	CMO Rejected This Student For Failure To Comply With Requirements	
445	CMO rejected this student for inadequate or missing documentation	088	CMO Rejected This Student For Inadequate or Missing Documentation	